Policy to Minimise the impact of Covid-19 on Postgraduate Research Degrees

1. MINIMISING DISRUPTION CAUSED BY COVID-19

1.1 PGR students each work on individual projects and so for this reason, establishing blanket mitigation policies due to COVID-19 disruptions is not feasible. Instead, most situations will need to be assessed on a case by case basis and students will have been affected in a number of different ways. However, the University has made a number of changes to minimise the impact of COVID-19. This document provides more detail on these and more information can be found in the Frequently Asked Questions (FAQs) [insert link].

1.2 The success of our PhD students is critical to the University, and so we are committed to encouraging and supporting students to continue and complete their doctoral research. As part of our commitment to supporting doctoral research, the University’s intention is to minimise the disruption caused by COVID-19 to ensure students can progress or complete their doctoral thesis within appropriate timescales. Our guiding principles, drawn from the UK Research and Innovation (UKRI), are to provide support for students to complete their thesis to a doctoral level and in identifying appropriate support, students will be treated fairly, inclusively and consideration of personal circumstances will be made.

1.3 The University campus closed on 20 March 2020 and all non-essential (as defined by the Scottish Government), lab-, field- and archival-based research has stopped. Since this time, there has been no access to research facilities. The following procedures apply from the period of 23 March 2020.

1.4 The University has a series of regulations governing research degrees and these remain in place during this time. Policies and processes which underpin the regulations have been amended to minimise the impact of COVID-19 and form the basis of this policy. This minimizing impact policy for PGR students aims to facilitate a student’s ability to:

(i) complete their thesis to a doctoral standard with enough time to do so and where possible additional financial support.

(ii) submit their thesis electronically and with exceptional extension requests

(iii) have their thesis examined in a viva voce by videoconference

(iv) have their degree awarded following required extensions

2.0 EXTENSIONS TO STUDY

2.1 Exceptional Unfunded Extensions: There may be students affected by the COVID-19 situation who require a period of extension to their submission date, for some this will take them beyond the 60 months maximum extension period permitted by the University. Exceptional extension requests to extend the submission date beyond 60 months (96 months part-time), as direct result of Covid-19, will be automatically approved. Requests beyond 72 months (108 months part-time) must be approved by the Dean for Postgraduate Research. UKRI will not penalise PGR students going beyond 48 months or part time equivalent. Exceptional extensions should be requested in the normal way via an extension form and will be assessed, as normal by Postgraduate Research Officers. Any delays caused to research by COVID-19 disruption will be taken into account and viewed sympathetically. In recognition that many PGRs will have had some disruption during this period, the University has agreed that, for all currently registered students, there will be no requirement to pay the continuation fee of £120 for the first 6 months of an extension, the £120 fee would apply thereafter. Any student who applies for and is granted an exceptional extension beyond 12 months (from original submission date) will be eligible to pay Council Tax, these costs will not be considered in any request for funding.
2.2 **Funded Extensions:** The University recognises that in some circumstances, PhD projects will have been disrupted to a significant extent. In some exceptional cases, completion of the thesis to a doctoral standard within the original supervised period will not be possible. To mitigate against such circumstances, the University has developed a process to capture the impact of COVID-19 on individual research projects and to provide funded extensions to submission deadlines where this is absolutely required. The overriding principle is that any funded extension should only be used to allow students to complete their research project and thesis to the required doctoral standard while considering the following questions:

- Is it possible to modify the research question, research direction and/or methodology while maintaining doctoral level quality?
- Can the thesis scope be adjusted (in agreement with student and supervisory team), whilst maintaining doctoral level intellectual independence of thought and delivers an original contribution to knowledge?
- What progress was made during the covid-19 disruption? If no progress was made, why was this the case?

Applications for funded extensions are not open to PhD students in receipt of UKRI funding (those associated with a Doctoral Training Partnership or a stand-alone UKRI award), as they will have access to funding extensions through their UKRI research organisation or training programme PhD students supported by other funding bodies offering funded extensions will also not be eligible. Unfunded PhD students who normally study on-campus will be eligible to apply unless study has been suspended during this period (as fees would not have been paid during this time). Unfortunately, distance learning PhD students will not be eligible as this funding can only cover COVID-19 disruption to on-campus research in Scotland. As a distance learning student, you are eligible to apply for an unfunded extension to your submission date as detailed in section 2.1. Part-time students can apply as long as they have not suspended studies during this period. Any funded extension granted to part-time PhD students will be on a pro rata basis. Internationally funded PhD students can apply, assuming their studies have not been suspended. PhD students who have already passed their original supervised study period by 1st March 2020 will be ineligible.

A University-wide panel will assess requests for funded extensions from all students with original submission deadlines between 01 March 2020 and 31 March 2021. Students who are already in an extension period or who have suspended studies during the Covid-19 restrictions are not eligible to apply for funded extensions. The University will only provide financial support for extensions of up to six months in exceptional circumstances (e.g., caring responsibilities, insurmountable disruptions to data collection/access to research facilities or sources). Requests for extensions must be fully justified and evidenced with respect to the scope of the research required and the time needed to complete the thesis. This will be assessed on a case-by-case basis. Any extension awarded will commence at the end of the student’s current period of supervised study and there will be no requirement to pay the continuation fee of £120 for the first six months. Any funding awarded will be in line with UKRI stipend rates and will be in GBP, regardless of the original source of funding.

2.3 To ensure that each PhD student with an original supervised period ending between 01 March 2020 and 31 March 2021 and their supervisory teams have the opportunity to fully assess the effects of COVID-19 disruption on projects and thesis delivery, a survey form should be completed by a deadline of 31st July 2020.

2.4 The University recognises that PhD students with original submission dates later than 31st March 2021 may also have faced significant disruption to their research progression although this will be in the medium or longer term and will not require funded extensions within the next 12 months. Nevertheless, it is important that this disruption is documented where extensions may be required in the future. Therefore, current students who have faced significant disruption, and with an original submission date ending on or after 1st April 2021, should submit a survey form with a deadline of 30th September 2020. A second survey

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1 Unless there are exceptional extenuating circumstances
window for current students those finishing after 1st April 2021 will also be available with a deadline of 28th Feb 2021 to allow unforeseen effects to be documented.

3.0 THESS SUBMISSION

3.1 Submission of thesis for examination: Doctoral students in a position to submit their thesis for examination are permitted to submit an electronic copy only, there is no requirement for a soft bound copy to be submitted.

3.2 Submission of thesis for Degree award: Following examination of a thesis by viva voce there is no requirement for submission of a hard-bound copy of the final thesis, an electronic version is sufficient at this time for degree award.

4.0 EXAMINATION OF DOCTORAL AWARDS

4.1 Viva Voce: PhD viva examinations will continue as scheduled (where all parties are in agreement to do so) using this guidance document. With immediate effect, these will be conducted by videoconference (using suitable technology eg Microsoft Teams, Skype). If students do not have access to IT equipment to attend a viva voce examination digitally, alternative arrangements, including timescales should be discussed with the internal examiner to agree a suitable plan.

4.2 The viva voce is used to confirm that the thesis makes a distinct contribution to knowledge and affords evidence of originality as shown by the exercise of independent critical powers allows doctoral candidates to explain, expand, and justify their thesis, in response to examiners’ questions. Examiners and ultimately the Senatus Academics must be assured that a sustained thesis meets required doctoral standard.

4.3 There may be circumstances where a thesis meets doctoral standard for examination but its scope has been narrowed as a result of COVID-19 disruption. In such cases, if the University is satisfied that the narrowed scope will not fundamentally change, undermine or invalidate the argument, the thesis may be submitted for examination with agreement from the student and supervisory team. In these exceptional cases, an appropriate explanation by the student, of the disruption caused by COVID-19, can be submitted to examiners. This should take the form of a short report (no more than 1000 words) but will not form part of the final thesis, unless expressly required to be incorporated in the thesis by the examiners. This report would be ratified by the supervisory team and an independent moderator.

5.0 AWARDING of DOCTORAL RESEARCH DEGREES

5.1 Extensions to correction timeframes: No student will be unfairly disadvantaged from making necessary adjustments or corrections to an examined thesis as a direct result of COVID-19 preventing action within normal timeframes.

5.2 If a thesis is sustained subject to minor corrections, the internal examiner is granted discretion to allow a longer timeframe for their completion (up to 6 months) as a direct result of COVID-19.

5.3 If an examined thesis required major re-working or major re-interpretation of the intellectual content, the University will give consideration to appropriate timeframes for resubmission on a case by case basis.

6.0 FURTHER INFORMATION

6.1 Further information on the Policy to Minimise the Impact of COVID-19 on Research Degrees is available in the FAQs [insert link]. If you have any questions regarding the procedures, please contact pgrs-covid@abdn.ac.uk