

UNIVERSITY OF ABERDEEN

UNIVERSITY COLLECTIONS

Collections Documentation Policy

1 UNIVERSITY COLLECTIONS PURPOSE

Since 1495, the University of Aberdeen has been open to all and dedicated to the pursuit of truth in the service of others. University Collections supports this foundational purpose, aiming to curate, enable, innovate and promote access for all to the University's internationally important archives, rare books and museum collections for teaching, research and public engagement.

2 AIMS

The collection has a long-term purpose and holds collections in trust for the benefit of the public in accordance with its stated objectives. This policy and associated procedures aim to ensure that University Collections fulfils its responsibilities in the management, access and security of the collections for which it cares. It aims to do this by:

- Improving accountability for the collections and their related data
- Maintaining the best possible standards in the documentation of the collections that meet the Accreditation standard
- Improving access to the collections for teaching, research and public engagement
- Enhancing the security of the collection

Documentation will follow procedures that are consistent with SPECTRUM 5.1 for the museum collections, ISAD(G) for the archive collections and MARC 21 for the printed collections. A standard set of forms are used across the collections (see appendix), with detailed procedures for the three domains. These procedures ensure that the capture, recording, safekeeping and use of information about the collections is completed in a consistent and ethical way. The procedures are to be followed by all staff and volunteers working with the University's collections and with responsibility for documentation.

3 ACCESS TO INFORMATION

University Collections staff are committed to extending access to information about the collection. The provision of information will be considered in terms of compliance with the *Freedom of Information (Scotland) Act 2002*, the *Environmental (Information) (Scotland) Regulations 2004*, the *Data Protection Act 2018* and the *UK General Data Protection Regulation*, by complying with the University of Aberdeen's *Data Protection Policy*, *Information Requests Policy* and *Information Security Policy*.

The online delivery of catalogues enables researchers to discover relevant information, accompanied by finding aids and collection level descriptions. New acquisitions will be documented as quickly as possible, with collection-level descriptions and skeletal item records created and made available online, with full detailed records created at a slower pace.

Alongside the development of innovative approaches to maximise accessibility, the sustainability of information will be given the highest priority. A priority is also given to making digital images of items available on the University Collections web-pages and for harvesting by other online initiatives. University Collections staff are committed to recording sufficient information about objects in the collection so that all can be identified and located. Staff will also ensure the physical security and long-term preservation of all documentation records; both paper and digital. This includes ensuring that digital records are backed up regularly, archival paper copies of acquisition forms are created and physical object history files are formed, with unique material stored in secure locations.

5 DOCUMENTATION PROCEDURES

University Collections brings together the University's archive, museum and rare printed collections. To enable better curation and access, policies and procedures are being converged wherever appropriate. Due to differences between the way that material has been documented, there are, however, different procedures for the three areas of the collection. Documentation procedures are outlined in manuals, accompanied by a training programme for relevant staff, ensuring that all new staff involved in collections management will be familiar with procedures, and that existing staff are provided refresher training. The need for training will be identified during the Annual Review process and in response to changes in this manual and in software applications used.

6 FORMS

As a step towards greater convergence between the three domains of the collection, a single set of forms has been developed and appended to this policy. These are used across University Collections.

Appendix 1: Acquisition Agreement form

Appendix 2: Copyright Agreement form

Appendix 3: Loan In Template

Appendix 4: Entry Receipt form

Appendix 5: Loan Request form

Appendix 7: Loan Agreement template

Appendix 8: Exit Receipt form

7 MUSEUM DOCUMENTATION PROCEDURES

The documentation of the museum collections are consistent with SPECTRUM 5.1. Detailed procedures are given in Appendix 9: 'Museum Collections Documentation Procedure's, with a history and overview of the museum collections and their documentation at appendix 10.

8 POLICY RESPONSIBILITY AND REVIEW

This operational policy will be reviewed at least annually by the Management Team of University Collections, following discussion by the Collections Management Working Group, with changes to be approved by the Directorate of Digital and Information Services Leadership Team. Associated guidance and plans will be consistent with this operational policy, and are approved by the Management Team of University Collections.

Approved by Directorate of Digital and Information Services Leadership Team, 13 February 2026.

APPENDIX 1: ACQUISITION AGREEMENT FORM



University Collections Acquisition Agreement

Acquisition form number

A

Entry form number

N

Description of item(s)

Including number of items, materials, measurements, provenance, date, condition (use a separate sheet if necessary).

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Accession Number(s)

Associated permit/ or certificate numbers:
(e.g. Export Licence, CITES Permit.)

Acquisition method: (tick as appropriate)

- ☐ Gift- I/we gift the item(s) listed above to University of Aberdeen Collections.
- ☐ Sale/ Purchase - I/we sell the item(s) listed above to University of Aberdeen Collections (price £.....)
- ☐ Transfer – I/we permanently transfer University of Aberdeen item(s) to University of Aberdeen Collections.

Special conditions related to acquisition (e.g. copyright), as agreed with University of Aberdeen Collections staff:

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Name of owner	Email:
Address:	Telephone:
Name of owner's representative	Email:
Address:	Telephone:

I/we certify that I am/we are the legal owner or the owner's representative and have read and understood the terms and conditions overleaf. The item(s) listed are given unconditionally to the University of Aberdeen, and I/ we confirm that the item(s) are not under dispute or being contested by a third party. I/we understand that University Collections cannot accept items on indefinite or long-term loan. This form represents transfer of ownership, title, and all associated rights to the above item(s) to the University of Aberdeen. I/we (the owner) retain no rights, including intellectual property and copyright associated with the item(s) listed above.

Owner/ Owner's representative

Signed	Date
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University Collections representative

Signed	Date
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Terms and Conditions**Transfer of title and associated rights**

Those transferring items must ensure that they have the legal right to gift, sell, or transfer an item(s)/ or collection, before offering them to the University of Aberdeen.

By signing this form, the owner or owner's representative transfers ownership/title, and all associated rights in an item/collection to the University of Aberdeen.

Appraisal of Donations

University Collections reserves the right to manage donated items as it deems appropriate, in accordance with the relevant institutional policies and sector best practice standards. Appraisal during the accessioning process may result in the disposal of material, such as items being:

- Returned to the previous owner or their representative
- Used for hands-on educational purposes
- Transferred to another institution
- Disposed of or recycled by a method deemed appropriate by University of Aberdeen Collections.

If it is agreed that the previous owner will be consulted during this process, this should be recorded as a 'special condition' on the form. Once items have been accessioned, disposal will follow the Collection Development Policy, available in the University Collections webpages.

Collections management

Acquisitions are used for a variety of purposes, such as teaching, research, and exhibitions. University Collections staff will document the items listed overleaf to create a digital record on the collections management system. The previous owner's name as provided overleaf is included on the public record, unless otherwise agreed as a 'special condition'. In line with the University's purpose of being 'open to all and dedicated to the pursuit of truth in the service of others', the museum, archive, museum and rare book collections are publicly accessible and searchable through the University Collections webpages.

As part of the management of the collection, items may be digitised by University Collections. The supply of images and scanned copies will follow current Copyright and Data Protection law, with images normally licensed under a Creative Commons (CC-BY) licence.

Personal data

The University of Aberdeen is committed to protecting personal information and being clear about the information that is collected and used. Further information about how personal data is handled is available in the University Collections Privacy Notice.

APPENDIX 2: COPYRIGHT AGREEMENT FORM



University Collections Copyright Agreement

Copyright Agreement form number

Related form number(s)

I,, confirm that I am the owner of the copyright in the work titled
'.....', by

I agree that (please tick **one** box to indicate the statement with which you agree):

- ☐ I transfer copyright in the above item to the University of Aberdeen
- ☐ I licence the University of Aberdeen to use images of the artwork for any purpose.
- ☐ I licence the University of Aberdeen to provide images of the artwork for use by third parties for not-for-profit purposes, to use images of the artwork in exhibitions, websites, public lectures and not-for-profit resources produced by or with the University of Aberdeen and for collections management purposes.
- ☐ I licence the University of Aberdeen to use images of the artwork in exhibitions, websites, public lectures and not-for-profit resources produced by or with the University of Aberdeen and for collections management purposes.
- ☐ I licence the University of Aberdeen to use images of the artwork for collections management purposes, such as a security record photograph. The image will not be used in any publications or public websites.

Signed _____

Print name _____

Date _____

APPENDIX 3: LOAN IN TEMPLATE

Loan Agreement

Borrower Name:

Purpose of Loan:

Duration of Loan:

Contact name:

Contact email:

Items to be borrowed:

Description of object	Insurance Value

Conditions of loan

1. The item(s) will not be repaired, altered, conserved or marked without the written permission of the lender.
2. Photography of the item(s) is permitted for the purposes of recording and marketing the exhibition. Photography of the item(s) on display by visitors is permitted.
3. The item(s) will be acknowledged on labels as "On loan from.....".
4. The borrower will ensure the item(s) are returned to the lender or its agents no later than the agreed date.
5. The item(s) may only be withdrawn from loan if the borrower materially breaches the terms of this agreement.
6. The item(s) will not be transferred to a third party without prior written consent from the lender.
7. The condition of items will be recorded at installation and de-installation. Any change in condition or damage will be fully documented.
8. Item(s) will be suitably packaged to the satisfaction of the lender.
9. Relative humidity will normally be between 40% and 55% with fluctuations of no more than 10% over any 24-hour period.
10. Temperature will normally be 20°C ±2°C with fluctuation of no more than ±2°C, over any 24-hour period.
11. There will be no smoking, eating or drinking around the item(s).
12. Any damage to the item(s) or changes in their conditions will be reported immediately to the lender who will decide what measures shall be taken.
13. The borrower's insurance will cover the period of loan specified.
14. Insurance arrangements will cover all risks.

Agreement

On behalf of the borrower, I agree to borrow the above item(s) on the conditions stated here.

Name: Position:

Signature: Date:

On behalf of the lender, I confirm this agreement.

Name: ... Position:

Signature: Date:

APPENDIX 4: ENTRY RECEIPT FORM



University Collections Entry Receipt

Entry Receipt Form number Related form number(s)

Description of item(s)

Including catalogue numbers, number of items, materials, measurements, provenance, date, condition (use a separate sheet if necessary).

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Owner/Finder/ Depositor		Owner/Finder/ Depositor's Agent	
Address		Address	
Telephone		Telephone	
Email		Email	
Period of entry			

Reason for Entry (Please tick as applicable, then sign to acknowledge acceptance of the conditions overleaf)

☐ **Acquisition**

Ownership of the item(s) listed is transferred to University Collections (see Acquisition Agreement Form A).

☐ **Transfer of University of Aberdeen item(s) to the care of University Collections**

Responsibility for the item(s) listed is transferred to University Collections (see Acquisition Agreement Form A).

☐ **Identification/Comment/Assessment**

The item(s) listed are on loan for comment/assessment and will be collected no later than three months from the agreed date, after which they will become the property of the University and can be disposed of.

☐ **Loan to University Collections (e.g. for exhibition, conservation)**

The item(s) listed are on loan to University Collections, with conditions recorded below.

☐ **Return of item(s) loaned from University Collections**

University Collections acknowledges the return of the item(s) from loan.

☐ **Item(s) in transit (e.g. Treasure Trove assessment) to.....**

University Collections will take responsibility for the item(s) until onward transfer to the agreed recipient.

Other conditions/information

Attach additional documents if necessary

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I confirm that the information on this form is correct, and I agree to the conditions overleaf

Depositor/Depositor's representative

Signed	Date

University Collections representative

Signed	Date

Conditions of Entry**General**

University Collections will take the same care and precautions for the protection of the item(s) described overleaf, whilst they are in its custody as it does for those in its permanent collections

When item(s) are left with University Collections for whatever reason, a copy of this form will be given to the depositor as a receipt. This should be presented if the item(s) is to be returned to the owner or their representative. Both it and the University's file copy will then be signed by the person receiving the item(s) to acknowledge their receipt in a satisfactory condition. The owner (or the owner's representative) and University Collections will retain their respective parts of the form.

Comment/Assessment

The University does not accept any responsibility for an opinion that may be expressed on items submitted for examination. The University does not accept responsibility for any opinion expressed on item(s) offered for examination.

University Collections staff are not authorised to give valuations, to assist in the disposal of property, or to recommend contractors.

It is the depositor's responsibility to collect item(s) described overleaf and left for comment assessment within three months of the date agreed on the form. If the item(s) are not collected within three months of the date agreed on the form, or if attempts to contact the owner are unsuccessful, the item(s) will be treated as the property of the University of Aberdeen and may be acquired for the collection, disposed of or destroyed.

Acquisitions

All acquisitions for the collection will follow the University's Collections Development Policy. Not all item(s) offered can therefore be accepted by the University. If any item is to be acquired for the University of Aberdeen Collection, an **Acquisition Agreement** must be signed, by which the owner transfers ownership and associated rights in the item(s) listed and confirms that they are entitled to do so.

Loans

This form is a receipt for material deposited on loan. It should be accompanied by a separate loan agreement between University Collections and the lender that details the conditions of loan.

Special Conditions

If special conditions, additional to the above, are agreed between University Collections and the owner/depositor these should be recorded on this form or in an appended agreement to which the owner/depositor and an authorised University Collections representative must both be signatories.

Personal data

The University of Aberdeen is committed to protecting personal information and being clear about the information that is collected and used. Further information about how personal data is handled is available in the University Collections Privacy Notice.

APPENDIX 5: LOAN REQUEST FORM



University Collections Loan Request

Name	
Role/ job title	
Institution	
Address	
Email	

Items requested

Provide as much information as possible, including catalogue numbers (if known) and page numbers if appropriate.

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Purpose of loan

Provide as much information as possible. If for an exhibition, please give the proposed dates of the exhibition, its title and how these items will contribute. Please indicate which other items will be displayed alongside those requested.

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Proposed total period of loan

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Please indicate in table overleaf if, in principle, are you able to comply with the standard loan conditions.
If possible, enclose a Standard Facilities Report with security supplement and details of display cases.

Loan conditions checklist

General	Yes	No
1. The item(s) must not be repaired, altered, conserved or labelled without the written permission of the lender.		
2. Photography of the item(s) on display will be agreed in advance, which may include restrictions on lighting/flash, assignation of copyright to the University of Aberdeen and use of a CC-BY licence, and provision of images to the University. Non-flash photography by visitors is normally permitted		
3. The item(s) must be acknowledged on labels as "On loan from the University of Aberdeen, Scotland"		
4. The borrower must ensure the item(s) are returned to the lender or its agents no later than the agreed date.		
5. The item(s) may be withdrawn from loan at any reasonable time at the discretion of the lender if the borrower materially breaches the terms of this agreement.		
6. The items(s) may not be transferred to a third party without prior written consent by the lender.		
7. If appropriate, the loan will be contingent on the successful application by the borrower for Immunity from judicial seizure, and successful application by the lender for an export licence.		
Transport, installation and de-installation		
8. Installation and de-installation of item(s) will be overseen by the lender or their agent in a secure environment, and the case locked prior to the courier's departure.		
9. All costs associated with transport, couriering, installation, de-installation and any significant packaging costs will be paid by the borrower.		
10. If installation and de-installation is delayed, the lender's staff may stay at the expense of the borrower until the work has been completed.		
11. Item(s) will only be handled by the lender's staff, or people supervised by them, unless in case of emergency or otherwise agreed in advance in writing.		
12. The condition of items will be recorded at installation and de-installation to the satisfaction of the lender. Any change in condition or damage will be fully documented.		
13. Vehicles transporting loans must be lock-fast and fully protected from the surrounding environment and the loans protected in transit from excessive vibration. Vehicles containing items must not be left unattended.		
Collections care		
17. Item(s) will be suitably packaged to the satisfaction of the lender.		
18. All packaging materials must be stored safely by the borrower in a stable environment. On return, loans shall be packed in the same way as received with the same cases, packages, pads and other furnishings.		
19. Display cases and mounts must be approved in advance by the lender.		
20. Environmental conditions will be approved by the lender and monitored during the exhibition, with the option of installing environmental monitoring equipment beside item(s).		
21. Relative Humidity in cases will be between 40% and 55%, with fluctuations no more than $\pm 5\%$ RH in any 24 hour period.		
22. Temperature in cases will be between 18°C and 22°C, with fluctuations of no more than $\pm 2^\circ\text{C}$ in any 24 hour period		
23. The light will always be controlled at a level agreed with the lender and UV light excluded from the display area.		
24. The display venue will have a pest management programme in place.		
25. The display venue will have a disaster response plan in place.		
26. Smoking, eating and drinking will not be permitted in the display area.		
Security		
27. The gallery must be invigilated during opening hours, with CCTV and 24 hours monitored alarm system.		
28. Item(s) will be exhibited in stable secure locked cases approved in advance by the lender.		
29. Once locked, cases may only be unlocked with the approval of the lender.		
30. If the lender agrees in writing to storage at a venue other than the exhibition venue, the items on loan will be kept in a secure place approved by the lender.		
Insurance		
31. The borrower's insurance will be approved in advance by the lender and will cover the full period of loan, including time in transit, and will cover the cost of replacing, repairing or conserving the items concerned. A copy of the insurance certificate must be received by the lender in advance of the loan.		

If there are any conditions that you are unable to meet, please outline suggested alternative arrangements.

APPENDIX 6: LOAN AGREEMENT TEMPLATE



University Collections Loan Agreement

Loan Agreement Form number

L

Related form number(s)

Borrower name	
Purpose of loan	
Duration of loan	
Contact name	
Contact email	

Items to be borrowed

Accession number	Description of item	Insurance value

Agreement

This agreement supersedes any previous agreement, and amendments must be in writing and signed by both parties. If any part of this agreement is held to be invalid, the remaining parts of this agreement shall remain in force and a new agreement signed as soon as possible. The failure of either party to insist upon strict adherence to any provision of this agreement will not be considered a waiver of any rights, nor will it deprive that party of the subsequent right to insist upon strict adherence to the agreement.

Both parties undertake not to disclose to third parties (other than their legal advisers) any confidential information gained as a result of this agreement, without written consent by the other party unless they are required to do so by law, regulation or a competent authority.

The borrower may not assign this agreement or any rights or obligations under it without the prior written consent of the University of Aberdeen. In the event of a dispute arising under the terms of this agreement, the parties agree to make a good faith attempt to settle the dispute. This agreement will be governed by the Laws of Scotland and the courts of Scotland will have exclusive jurisdiction.

On behalf of the borrower, I agree to borrow the above item(s) on the conditions stated here.

Signed	Date
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On behalf of the University of Aberdeen (hereafter 'the lender'), I confirm this agreement.

Signed	Date
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Conditions of loan number: L..... [amend and confirm conditions before signing]

General

- 1 The item(s) must not be repaired, altered, conserved or labelled without the written permission of the lender.
- 2 Photography of the item(s) on display will be agreed in advance, which may include restrictions on lighting/flash, assignation of copyright to the University of Aberdeen and use of a CC-BY licence, and provision of images to the University. Non-flash photography by visitors is normally permitted
- 3 The item(s) must be acknowledged on labels as "On loan from the University of Aberdeen, Scotland"
- 4 The borrower will ensure the item(s) are returned to the lender or its agents no later than the agreed date.
- 5 The item(s) may be withdrawn from loan at any reasonable time at the discretion of the lender if the borrower materially breaches the terms of this agreement.
- 6 The items(s) may not be transferred to a third party without prior written consent by the lender.
- 7 If appropriate, the loan will be contingent on the successful application by the borrower for Immunity from judicial seizure, and successful application by the lender for an export licence.

Transport, installation and de-installation

8. Installation and de-installation of item(s) will be overseen by the lender or their agent in a secure environment, and the case locked prior to the courier's departure.
- 9 All costs associated with transport, couriering, installation, de-installation and any significant packaging costs will be paid by the borrower.
- 10 If installation and de-installation is delayed, the lender's staff may stay at the expense of the borrower until the work has been completed.
- 11 Item(s) will only be handled by the lender's staff, or people supervised by them, unless in case of emergency or otherwise agreed in advance in writing.
12. The condition of items will be recorded at installation and de-installation to the satisfaction of the lender. Any change in condition or damage will be fully documented.
- 13 Vehicles transporting loans must be lock-fast and fully protected from the surrounding environment and the loans protected in transit from excessive vibration. Vehicles containing items must not be left unattended.

Collections care

- 17 Item(s) will be suitably packaged to the satisfaction of the lender.
- 18 All packaging materials must be stored safely by the borrower in a stable environment. On return, loans shall be packed in the same way as received with the same cases, packages, pads and other furnishings.
- 19 Display cases and mounts must be approved in advance by the lender.
- 20 Environmental conditions will be approved by the lender and monitored during the exhibition, with the option of installing environmental monitoring equipment beside item(s).
- 21 Relative Humidity in cases will be between 40% and 55%, with fluctuations no more than $\pm 5\%$ RH in any 24 hour period.
- 22 Temperature in cases will be between 18°C and 22°C, with fluctuations of no more than $\pm 2^\circ\text{C}$ in any 24 hour period
- 23 The light will always be controlled at a level agreed with the lender and UV light excluded from the display area.
24. The display venue will have a pest management programme in place.
- 25 The display venue will have a disaster response plan in place.
- 26 Smoking, eating and drinking will not be permitted in the display area.

Security

- 27 The gallery must be invigilated during opening hours, with CCTV and 24 hours monitored alarm system.
- 28 Item(s) will be exhibited in stable secure locked cases approved in advance by the lender.
- 29 Once locked, cases may only be unlocked with the approval of the lender.
- 30 If the lender agrees in writing to storage at a venue other than the exhibition venue, the items on loan will be kept in a secure place approved by the lender.

Insurance

- 31 The borrower's insurance will be approved in advance by the lender and will cover the full period of loan, including time in transit, and will cover the cost of replacing, repairing or conserving the items concerned. A copy of the insurance certificate must be received by the lender in advance of the loan.

APPENDIX 7: EXIT RECEIPT FORM



University Collections Exit Receipt

Exit Receipt Form number Related form number(s)

Description of item(s)

Including catalogue numbers, number of items, materials, measurements, provenance, date, condition (use a separate sheet if necessary).

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Owner/Recipient		Owner/ Recipient's Agent	
Address		Address	
Telephone		Telephone	
Email		Email	
Period of exit			

Reason for Exit (Please tick as applicable, then sign to acknowledge acceptance of the conditions overleaf)

☒ **Loan from University Collections (e.g. for exhibition, conservation)**

The borrower will be responsible for the care for the above item(s) and accepts the conditions specified overleaf and in a loan agreement.

☐ **Return of item(s) left in the care of/loaned to University Collections**

The recipient acknowledges the receipt of the above item(s) from the University.

☐ **Item(s) in transit (e.g. Treasure Trove assessment) to**

The borrower/recipient confirms that they take full responsibility for the item(s).

☐ **Destructive analysis**

The recipient agrees to conditions agreed as part of the University Collections Destructive Analysis Procedure.

☐ **De-accessioning, disposal and/or destruction of item(s) or permanent transfer from University Collections**

The University Collections representative confirms that the item(s) have been disposed of following the procedures in the University's Collections Development Policy. In the case of permanent transfer, the recipient acknowledges the receipt of the above item(s) from the University.

Other conditions/information

Attach additional documents if necessary

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I confirm that the information on this form is correct, and I agree to the conditions overleaf

Recipient/Recipient's representative

Signed	Date

University Collections representative

Signed	Date

Conditions of Exit**General**

When item(s) are permanently or temporarily removed from the care of University Collections, a copy of this form will be given to the recipient as a receipt. Both it and the University's file copy will be signed by the person receiving the item(s), acknowledging their receipt in a satisfactory condition. The recipient and University Collections will retain their respective parts of the form.

Loans Out

If the purpose of exit is for loan, please refer to separate **Loan Agreement** form for full details of the specific conditions applied to the item(s) under this loan.

If an agent is employed to facilitate the transport of items from a University of Aberdeen venue to a borrowing institution, the borrowing institution MUST countersign and return this form to University Collections, as acknowledgement of the item's safe arrival at their end destination.

Return of item(s) loaned to the University of Aberdeen

University Collections acknowledges the return of the item(s) listed overleaf, in accordance with the conditions specified and agreed with the loaning institution. Item(s) are returned to the owner in a condition consistent with which it/they were received by University Collections.

Destructive Analysis

The recipient agrees to specified conditions stipulated in University Collections' Destructive Analysis Procedure. The recipient agrees to undertake only the sampling specified and agreed on the submitted **Destructive Sampling Request** form.

De-accessioning, disposal and/or destruction of item(s) or permanent transfer from University Collections

University Collections confirms that due diligence has been carried out and recorded, and that the item(s) have been de-accessioned and/or disposed of following the procedures in the University's Collections Development Policy.

This form will be countersigned by the Head of University Collections and another member of University Collections staff (at 'owner' and 'representative'), prior to disposal of the item(s) listed overleaf.

In the case of permanent transfer, the recipient acknowledges the receipt of the item(s) listed overleaf from the University of Aberdeen.

Special Conditions

If special conditions, additional to the above, are agreed between University Collections and the owner/depositor these should be recorded on this form or in an appended agreement to which the owner/depositor and an authorised University Collections representative must both be signatories.

Personal data

The University of Aberdeen is committed to protecting personal information and being clear about the information that is collected and used. Further information about how personal data is handled is available in the University Collections Privacy Notice.

APPENDIX 8: MUSEUM COLLECTIONS DOCUMENTATION PROCEDURES

Museum Collections Documentation Procedures

1 DOCUMENTATION PROCEDURES

This set of procedures governs the documentation of the museum collections. It follows the University Collections *Collection Documentation Policy* and is consistent with SPECTRUM 5.1. Procedures have been adapted for use with Axiell CALM, the documentation system used by University Collections for archive and museum collections.

A printed copy of these procedures will be stored in collections stores and offices (Marischal College, University Collections general office in the Sir Duncan Rice Library, and the Zoology Museum office). Digital copies are held in the University Collections SharePoint site and on the Museums shared drive at \Museums\Management\Policies, procedures and forward plans\CURRENT Policies, Plans and Procedures.

2 DOCUMENTATION PLANNING

University Collections reviews its Documentation Plan annually, ensuring that its objectives facilitate continual improvement of the museum collections and their associated archives. As well as ensuring that the collections continue to meet Accreditation standards, these objectives and outcomes align with the strategic aims set out in University Collections policies and plans, and in the wider University of Aberdeen 2040 Strategy.

The *Museums Documentation Plan* (Appendix 9) sets out objectives to be completed on specific areas of the collections throughout the year ahead. These range from inventory work, tackling cataloguing backlogs and retrospective appraisals, improvement of records and their management, reconciliation of collections, and enhancing accessibility.

Each objective is set against an achievable time limit, with a focus on 'small wins,' as well as the identification and implementation of longer-term collections reviews and project work. Staff with responsibility for the museums collections continually work to identify areas of the collection where improvements to CALM records are required, and these are then set as priority objectives within the Documentation Plan.

3 FORMS

As a first step towards convergence, a single set of forms for University Collections has been developed and appended to this policy. These are included as appendices to the Collections Documentation Policy.

Appendix 1: Acquisition Agreement form

Appendix 2: Copyright Agreement form

Appendix 3: Loan In Template

Appendix 4: Entry Receipt form

Appendix 6: Loan Request form

Appendix 7: Loan Agreement template

Appendix 8: Exit Receipt form

The Destructive Sampling Request form is included as an appendix to the Research and Academic Engagement Policy.

4 POLICY RESPONSIBILITY AND REVIEW

This procedure will be reviewed and approved at least annually by the Management Team of University Collections, following discussion by the Collections Management Working Group.

Approved by Management Team of University Collections, 9 January 2026.

PROCEDURES

5 ACQUISITION, ENTRY AND ACCESSIONING

Acquisition to the collection is governed by the University's Collection Development Policy, including offers of donation, purchases, transfers from other university sections, or items left anonymously. If an owner/ depositor leaves an object for identification, a return date will be agreed with the owner/ depositor that is no longer than one calendar year after deposit. Once an assessment is completed and a decision is made on whether to acquire the object(s), the owner/ depositor is informed of the outcome, and any object(s) not to be acquired will be returned to the owner using the Exit procedure. Any transport and insurance arrangements are confirmed with the owner/ depositor prior to any object(s) entering University premises. Staff should be informed in advance of any potential objects arriving at collections stores, so that sufficient storage space in the quarantine area for the object(s) prior to their arrival can be ensured

5.1 Entry procedure

The Entry procedure governs all objects entering University Collections, and covers:

- Loans In
- Return of items from loan/ conservation
- Acquisition
- Permanent transfers of university-owned items to University Collections
- Items deposited for Comment/ Assessment
- Items in transit (e.g. Treasure Trove assessment)

5.2 Creating an entry record and receipt

Entries are recorded on both a paper 'Entry Form', as well as on a Microsoft Excel spreadsheet. The form is allocated a number prefixed by the letter 'N' and consisting of the year of entry and a sequential identification number, which is recorded on both the paper form and the spreadsheet. The next available form number can be found on the Excel spreadsheet within the 'Acquisitions & Object Entry Exit' Folder on the University Collections shared drive. Both the spreadsheet and form should be completed at the same time to avoid any discrepancies in the form numbering system. A digital signed PDF copy of the completed form is kept on the University Collections shared drive, and the physical copy stays with the object(s) until it is formerly accessioned. Once accessioned, the paper form is filed by year and form number in the museum documentation filing cabinet (currently in the main office at Marischal College). The 'Entry Form' records the following information: brief description, condition, number of items, and dimensions of object, owner and/or depositors contact details, entry date, entry reason, entry method. The form also contains terms and conditions, which the owner/ depositor must agree to by signing. (*Collections Documentation Policy: Appendix 4: Entry receipt form*). Any other associated numbers such as 'Loan in', 'Acquisition', or 'Exit' are also recorded on this form.

If an owner/ depositor is present, they must agree and accept the terms and conditions on the 'Entry Form' and 'Acquisition Agreement' (where applicable) by signing. Once signed, a paper copy of the form(s) is given to the owner/ depositor for their records. If the owner/ depositor is not present, a copy of the form(s) will be sent to them for their own records, and a second copy will be sent for them to sign and return to University Collections. Donors will also be sent a message of thanks. . If an agent is acting on behalf of the owner/depositor, they will complete the form accordingly, and provide proof that they are acting on behalf of the owner/depositor.

5.3 Acquisitions - Transfer of Title

In conjunction with object entry, all acquisitions or permanent transfers must be documented on an 'Acquisition Agreement' (*Collections Documentation Policy: Appendix 1: Acquisition Agreement form*). The agreement confirms that the depositor has the legal right to transfer title in the object(s) to the University of Aberdeen, and to transfer both ownership and any associated intellectual property rights. This form is also useful to record any further provenance information about an object or collection of objects. As with the object entry procedure, the 'Acquisition Agreement' form is allocated an individual identification number prefixed by the letter 'A' and consisting of the year of entry and a sequential identification number (e.g. A2025-01), which is recorded on both a paper form and the Excel spreadsheet found within the

'Acquisitions & Object Entry Exit' folder on the University Collections shared drive. Both the spreadsheet and acquisition form should be completed at the same time to avoid any discrepancies in the form numbering system. A digital signed PDF copy of the completed form is kept on the University Collections shared drive, and the paper form is filed in a documentation filing cabinet within the main office at Marischal College.

The 'Acquisition Agreement' records the following information: brief description, condition, number of items, dimensions of object, owner and/or depositors contact details, acquisition date, acquisition method, and any special conditions relating to acquisition. The agreement gives the option for the owner/ depositor to transfer all associated rights related to the item(s) at the time of acquisition, which should be encouraged during initial discussions with the owner around acquiring the material. By agreeing to transfer all associated rights at the time of acquisition, future queries regarding rights and usage associated with the item(s) are kept to a minimum. The reverse of the form also contains terms and conditions, which the owner/ depositor must agree to by signing. The associated 'Entry Form' number, plus any relevant permit numbers relating to import/ export, and/or collection of biological specimens are also recorded on the 'Acquisition Agreement'. At the beginning of the new calendar year, the previous year's 'Acquisition Agreements' are scanned and printed on to archival-quality paper, and bound, to create a printed 'Accession Register'. 'Entry' forms are also scanned, printed and bound as above.

5.4 *Archaeological Assemblages*

Scottish archaeological assemblages will only be acquired following the procedures in the *Treasure Trove Code of Practice*. Assemblages will be deposited directly by the contractor which excavated the material once allocation has been confirmed by K<R. Transport arrangements are confirmed with the depositor prior to the arrival of the objects into University Collections and will follow the guidelines established through the '*Before the Museum*' project.

5.5 *Anonymous Donations*

Anonymous donations will not usually be accepted into the collections, as a signature is required to confirm transfer of ownership. Should an anonymous donation arrive (e.g. through the post) then an 'Entry Form' will be created to log as much information about the object as possible, including photographs, with the expectation that the owner may be in touch in the future about the donation. A note will also be included to confirm that the depositor was not available to verify any details. University Collections will carry out due diligence to try to trace the rightful owner of the deposited material but reserves the right to dispose of any items in any way it sees fit, should a donor not come forward after one calendar year of deposit.

5.6 *Processing newly arrived objects*

Objects are given a temporary label marked with the object entry number or loan-in reference number. The paper-copy 'Entry Form' stays with the object(s) until it is formally accessioned into the museum collections (see section 5: Cataloguing). The object's condition is assessed by the Museum Collections and Conservation Manager, and any objects which may carry a potential pest risk will be immediately frozen for at least 48 hours before entering the collections stores. Any potential preventive/ remedial conservation treatments, or hazardous materials will also be identified.

5.7 *Record first location of objects*

The first location of the object, the date, and the staff member recording the information is entered digitally on the Object Entry/ Exit Excel spreadsheet in the 'Acquisitions & Object Entry Exit' Folder on the University Collections shared drive.

5.8 *Copyright*

At the time of entry into the University's collections, every effort should be made to establish and confirm who the current copyright holder is. This is particularly important for 'created works' such as artworks, photography, sculpture and literary works. If copyright details are known, these should be record on the 'Acquisition Agreement' at the time of acquisition. For retrospective copyright requests, a 'Copyright Letter' (*Collections Documentation Policy: Appendix 2: Copyright Agreement form*) should be sent to the rights holder to request permission in writing to reproduce images of the object for a variety of purposes. If the

copyright holder is unknown, the name of the person or company that produced the object, and its date of creation, should be recorded if known on its CALM database record.

6 LOCATION AND MOVEMENT CONTROL

This procedure is to be used by University Collections staff when an object is to be moved from its normal location for more than one working day. This includes internal moves within collections stores/ buildings, as well as off-site moves.

6.1 *Short-term object movement*

Pre-printed triplicate carbon object movement tickets are used to record the temporary movement of objects from their normal location. These should be completed in ball-point pen, to ensure a clear copy is made on to each ticket layer. The tickets record the object's accession number and description, when an object is moved, by whom, and where it was moved from and to. The procedure for using the tickets is:

- The top copy (white) is left in place of the object e.g., on the storage shelf/ in the storage box.
- The middle (yellow) copy always accompanies the object to its temporary location.
- The bottom copy (pink) stays within the ticket book and is used to update the digital 'current location' field on the object record within the collections management database (CALM).

When the object is returned to its permanent location, the pink copy inside the ticket book should be scored out with an 'X' and the white and yellow copies should be scored out and then thrown away.

6.2 *Long-term object movement (CALM)*

When dealing with longer-term movements of objects, such as for exhibitions or long-term loans, digital object movement must be completed in the collections management system (CALM), alongside the use of a printed object list, which accompanies the objects to their temporary location. For internal locations such as other university-owned buildings, rooms or exhibition spaces, the individual location reference is updated in the 'current location' field, for example '17291 - Old Aberdeen Campus/The Sir Duncan Rice Library/Ground Floor/Gallery'. For external loans, the 'current location' field is updated to 'External Loan' and a note of the object's current location, exhibition name, loan reference number, movement purpose, and the person completing the move is added in to the 'Current Location Note' field. This is a free text field and allows up to 150 characters to be entered. When the object is returned to its permanent location, the 'Current Location' field should be returned to the same as the 'Normal Location' field, and notes in the 'Current Location Note' field should be removed. The 'Normal Location' field should always remain the same when making temporary moves but should be updated with the object's new location when it has been decided by collections staff that an object should move to a new permanent location.

7 CATALOGUING (INCLUDING LABELLING AND MARKING)

7.1 *Cataloguing standards*

All items in the University's museum collections care must have – as a minimum - the fields below completed within the CALM record:

- Object Number
- Object Name
- Number of Objects
- Brief Description
- Current Location
- Full Acquisition Details (owner/ depositor, date, accession & entry form number, acquisition type e.g. gift)
- Cataloguer Details (name and date)

The CALM 'Object Record' template contains a variety of other fields such as 'Condition, Materials, Dimensions, Field Collector, & Object History Note' which should be completed as fully as possible by the cataloguer. CALM also enables other related fields to be selected from

a list and inserted if deemed appropriate by Collections staff. See:

http://www.dswebhosting.info/Documents/Manuals/ALM/V11/main_menu/basics/complete_field_list_catalog.htm

To aid consistency of data entry, fields are set to fixed formats (e.g. date = DD/MM/YYYY) and so will only allow data to be entered in the way specified. Any additional information about an item is discovered through the other procedures, documentation projects, or through academic research should be entered into the CALM record or filed in the Object History File as soon as possible.

To ensure data integrity, the Collections Management Database (CALM) and University Collections Shared Drive are backed up at regular scheduled intervals in line with University policies.

7.2 *Sensitive or potentially offensive information*

University Collections recognises that some historical records may contain content, language or terminology which may be sensitive, offensive to specific cultural groups or individuals, or that is inaccurate. As such, collections staff will endeavour to update records with additional information to aid context where possible, whilst also ensuring that the historical information which accompanied the object at the time of acquisition still remains within the record. As the online collections database is publicly accessible, the landing page features a prominent content warning. This says "Please be aware that there are descriptions and images of items in the records which may be considered to be sensitive or offensive. However, to understand past perceptions we include this information for its historic value."

7.3 *Object History files*

Additional information accompanying an object which cannot be included in the digital record including correspondence, notes, reports, historical photographs and press cuttings are stored in individual object history files. These files are stored in filing cabinets within the main office at Marischal College and are organised according to their object number.

7.4 *Labelling and marking*

Objects are marked with their unique object number in an unobtrusive area using a layer of 10% Paraloid B72 dissolved in acetone, labelled in permanent archival ink or with a printed archival paper label, and then coated with a layer of Paraloid B67 in white spirit. For some objects this may not be practical given an objects condition, material, or size, in which case objects are labelled with a Tyvek or acid free cardstock label attached to the object securely with cotton tape. Paper objects are marked discreetly with their object number using graphite pencil, normally in the bottom left corner of the document.

8 INVENTORY

All objects in the collection should be catalogued to meet the Spectrum 5.1 minimum standard as outlined above. Regular inventory/ audits are therefore carried out to identify objects where record information may be lacking. Due to the historical nature and scale of the collections, over time objects may have become detached from their labels, or paper-based documentation may not have been fully transferred across to the Collections Management System (CALM). The Documentation Plan (see Appendix 2), specific areas of the collection are identified where object records may require updating, and an inventory/ audit of the area is then carried out. Any old or temporary numbers are matched to existing paper-based documentation (e.g. old accession registers, committee reports etc) where possible, and their database records updated with this information. All objects are then clearly marked or labelled with their unique object number. Any objects which cannot be matched to existing documentation through the inventory/ auditing process, and so are considered not to have been accessioned, will be dealt with according to the Collections Development Policy, and a decision made as to whether to retrospectively accession, transfer, or dispose of the material. If it is decided that an item should be retrospectively accessioned, the comment "Retrospectively accessioned, found in store" along with the recorder's name and the date is added in to the 'Notes' field on the CALM database.

9 OBJECT EXIT

The Exit form (*Collections Documentation Policy: Appendix 8: Exit Receipt form*) is used to record all objects leaving University Collections, and covers:

- Loans Out
- Return to owner/ depositor.
- Items in transit (e.g., Treasure Trove assessment)
- Analysis (inc. destructive)
- Conservation
- De-accessioning, permanent transfer, or repatriation.

An object exit is recorded on both a paper 'Exit Receipt form', as well as on a computerised Excel spreadsheet. The form is allocated a number prefixed by the letter 'A' and consisting of the year of entry and a sequential identification number (e.g., X2025-01), which is recorded on both the paper form and the Excel spreadsheet. The next available form number can be found on the Excel spreadsheet within the 'Acquisitions & Object Entry Exit' folder on the University Collections shared drive. Both the spreadsheet and form should be completed at the same time to avoid any discrepancies in the form numbering system. A signed digital PDF copy of the completed form is kept on the University Collections shared drive, and the paper form is filed in a documentation filing cabinet within the primary office at Marischal College. A physical copy is also given to the recipient/ owner/ agent. The 'Exit Receipt form' records the following information: brief description, condition, number of items, and dimensions of object, owner and/or recipients contact details, exit date, exit reason, and exit method. The form also contains terms and conditions, which the owner/ recipient must agree to by signing. Any other associated numbers e.g., 'Loan in' or 'Entry Receipt' numbers are also recorded on this form.

9.1 Recording collection

If an owner/ recipient is present, they must complete the contact information section and agree and accept the terms and conditions on the reverse of the 'Exit Receipt form' by signing. Once signed, a paper copy of the form is given to the owner/ recipient for their records.

If the object(s) are being collected by an agent or carrier on behalf of an owner/ recipient, then they must complete the contact information section and sign as an 'Agent' acting on behalf of the owner/ recipient. Once signed, a paper copy of the form is given to the agent/carrier. Once the object(s) are received at their end destination by the owner/ recipient, the owner/ recipient will be sent an electronic scanned copy of the signed form by University Collections staff, and will be requested to print, wet sign and then either return the form by post or electronically within one week of receipt of the items. At the beginning of the new calendar year, the previous year's 'Exit receipt forms' are scanned, printed on to archival-quality paper, and then bound.

9.2 Return of item(s) to owner

For object(s) being returned to owner either after loan or assessment, or for item(s) in transit, the 'Acquisitions & Object Entry Exit' spreadsheet on the shared drive is updated with the note 'Returned to owner/ depositor', the date of return and the name of the University Collections staff member authorising the return. When returning 'Loans in' to the owner, the 'Current Loans' spreadsheet is also updated accordingly.

9.3 Updating locations of University Collections item(s)

For accessioned University Collections item(s), locations are updated both through movement forms and digitally on CALM (see section 4 Location and Movement Control). In the case of repatriation, the 'Normal Location' field in the CALM record is changed to 'Repatriated.'

10 LOANS OUT (LENDING)

10.1 Initial Request

Requests for loans must be made in writing using the 'Loan Request form' (*Collections Documentation Policy: Appendix 6: Loan Request form*). The form collects the details of the request including the object number/s, brief description of object(s), borrowers' details, reason for the loan, period of the loan and any special conditions of the loan. A decision in principle will be made by the Head of University Collections or the Museum Collections and Conservation Manager, who will notify the requester in writing and may suggest alternative object(s)

depending on their condition/ availability. Any reasons why an object(s) may not be available/ suitable for loan will also be explained clearly to the requester.

If a loan is agreed, the details provided by the requester/ borrower on the 'Loan Request form' is then copied in to the 'Current Loans' spreadsheet on the University Collections shared drive, and a unique loan number e.g., "L2024-02" will be assigned to the loan request. Using this unique loan number, a digital folder in the 'Loans' section of the University Collections shared drive, and a physical hard copy folder kept in the 'Loans' filing cabinet in the main office is created, and copies of all the relevant forms and correspondence relating to the loan are filed accordingly. A note will be made to reserve the objects for the period of the loan in case they are requested by someone else. As the loan progresses, the 'Current Loans' spreadsheet status must be updated accordingly to *Loan Requested*, *Loan Pending*, *On Loan*, or *Loan Returned*.

10.2 *Requesting further Information from the borrower*

Once an initial loan request has been made by the borrowing institution, the Museum Collections and Conservation Manager will request further information from the borrower to agree on the conditions for borrowing. Facility reports, indemnity, and insurance documents, and if applicable, immunity from seizure, will be requested, alongside information about the institution's loan and installation schedule, any costs associated with the loan (e.g., conservation work), and any provision of staff required to accompany objects (couriers). If after additional information is provided, University Collections decides not to lend the object(s), a written explanation is sent to the requester/ borrower detailing the reasons why the decision has been made. A hard and digital copy of any correspondence relating to a loan request regardless of whether it is successful, will be filed under the unique loan number in the relevant folders as detailed above.

10.3 *Agreeing the Loan*

Once the loan request is approved and agreed with the borrower, University Collections will provide an object list detailing the specific information about the object(s) requested including object number/s, description, dimensions, materials, provenance info, condition, handling requirements & risks, valuation, and any specific conditions for display such as environmental and mounting specifications. Once these conditions are finalised with the borrower a Loan Agreement will be drafted following the template (*Collections Documentation Policy: Appendix 6: Loan Agreement template*) which both parties then sign.

10.4 *Preparing for the loan*

Once the Loan Agreement has been signed by both parties, the following are completed and finalised by University Collections staff prior to the object(s) being sent out to the borrower:

- Export Licences are compiled and submitted if applicable (at least 8 weeks prior to loan going out)
- If required, information for an Immunity from Seizure application is sent to the borrowing institution
- Any required conservation treatments are undertaken on object(s) and bespoke mounts are made/ sourced (unless agreed otherwise by University Collections)
- Object(s) are condition checked and photographed, and digital and printed reports generated and compiled
- CALM database records are updated (location/movement, conservation, valuation)
- Security conditions must be met and confirmed by borrower
- Insurance must be arranged listing all objects to be borrowed with their valuations, ensuring that cover extends at least 2 weeks either side of the exhibition dates
- Arrangements made for any required couriership, installing and deinstalling

10.5 *Sending the objects*

Packing, transport and couriership requirements are arranged with the borrower and any relevant art handling/ courier companies. The loan is then dispatched to the borrower, alongside all of the relevant loan documentation which includes: a detailed list of all object(s) on loan denoting required environmental conditions, mounts and handling procedures, signed Loan Agreement, Exit Receipt form, Export Licence (if required), CITES Certificate (if required),

Immunity from Seizure Certificate (if required) and Condition Reports. On arrival of the object(s) at the borrowing institution, the art handling/ courier company and borrowing institution will confirm receipt of the object(s) in writing to the Museum Collections and Conservation Manager. Unless agreed otherwise with the borrower, condition checking and installation of the object(s) will be carried out by a designated member of staff from the University of Aberdeen. Once on loan, the object(s) and environmental conditions will be monitored through regular correspondence with the borrowing institution.

10.6 *Extending the loan*

If the borrower wishes to extend the loan beyond the fixed term of the original loan agreement, they must submit a request in writing as soon as possible before the end of the original loan term. This ensures that there is adequate time to amend any insurance cover, valuations, and transport arrangements. The request will be considered by the Head of University Collections and the Museum Collections and Conservation Manager, and a decision will be returned in writing to the borrowing institution. If an extension to the loan is approved, an amended loan agreement with a new fixed end date will be created, ensuring that all information is still current, which will then be sent to the borrower to sign and return.

10.7 *Arranging for return and updating records upon receipt of object(s)*

University Collections will then confirm the arrangements for the de-installation, including condition checking, packing and the transportation for the return of the loan with the borrower. Upon sending the objects from their institution, the borrower will notify University Collections staff in writing providing any relevant shipping/ tracking information or contact details of the art handling/ courier company. Once the objects are received by University Collections, their safe receipt will be acknowledged in writing and though completion of an Entry Receipt form and the borrower's receipt form, a copy of which will then be returned to the borrower for their records. A written confirmation of return of the objects will also then be sent to the Export Licensing Unit (if required). Objects will then be condition checked, returned to their normal locations, and their CALM records updated to reflect their current location, plus any additional information such as exhibition references added. Information of damage or security incidents must be documented, and entered into the objects CALM record, and a hard copy should be kept in the loan folder. Any serious damage thought to have been caused during transit, must be raised with the borrowing institution and art handling/ courier company swiftly, so that a claim for remedial conservation work may be made through the relevant insurance policy. Any other remaining invoices for costs will also be sent to the borrowing institution to be settled.

11 LOANS IN (BORROWING)

11.1 *Initial request*

The first step in considering a loan to University Collections is the production of a written proposal sent to the Head of Collections. This proposal must include details of the item(s) to be borrowed, the reason for borrowing (e.g., exhibition - including venue and themes), dates/ period of the loan, details of the potential lender, predicted costs and any other specific conditions. Once the University Collections Management Team makes an agreement in principle, a request to borrow should be made to the potential lender, allowing a minimum of six weeks' notice prior to the potential start date of the loan.

11.2 *Managing Loans In*

Once a loan has been agreed, details are then copied in to the 'Current Loans' spreadsheet on the University Collections shared drive, and a unique loan number e.g., "LI2024-02" will be assigned to the loan request. Using this unique loan number, a digital folder in the 'Loans' section of the University Collections shared drive, and a physical hard copy folder kept in the 'Loans' filing cabinet in the main office are created, and copies of all the relevant forms and correspondence relating to the loan are filed accordingly. The 'Current Loans' spreadsheet records the Loan-in reference number, name and contact details of the lender and staff contact, the lender's address, the reason for the loan, the loan period, object numbers and brief description of object, and the loan status and date e.g. 'Loan Requested 24/03/2025'. As the loan progresses, the status of the loan on the 'Current Loans' spreadsheet is updated correspondingly.

Normally, the lender will have their own documentation policies and procedures which we will be required to follow as a condition of the loan. For potential lenders that do not specify an approach, the requirements of the University's own insurance policy will be followed, the University's loan in template (Collections Documentation Policy: Appendix 6: Loan In Agreement template) may be used, and documentation procedures for entry/ exit and loan followed. All loans will have a signed loan agreement, whether that of the lender or one prepared by University Collections. A signed copy of the Loan Agreement is then filed both digitally and electronically in the 'Current Loans' folders on the shared drive and the filing cabinet in the Marischal College Main Office alongside all other correspondence regarding the loan. The final loan list will include information about the lending institution; object number/s and description, valuation, condition, dimensions, display, environmental and handling requirements, potential risks, and colour photographs of the items. Where possible, details of any associated rights or requirements, and any associated provenance information should also be gathered to aid research of the item/s. The 'Current Loans' spreadsheet will be updated with the loan's progress.

If after additional information is provided, the potential lender decides not to lend the object(s), a copy of the written explanation detailing the reasons why the decision has been made, is filed in the applicable loan folder. This information is a useful reference for any potential requests made to that specific lender in the future. A hard and digital copy of any correspondence relating to a loan request regardless of whether it is successful, will be filed under the unique loan number in the relevant folders as detailed above.

If University Collections wishes to extend the loan, an amended loan agreement with a new fixed end date will be discussed and agreed with the lender, ensuring that all information is still current.

11.3 *Receipt of loans*

Prior to receipt by University Collections, item(s) will be condition checked and photographed, and digital and printed reports generated and compiled by the lender. Insurance will be arranged by University Collections listing all objects to be borrowed with their valuations, ensuring that cover extends at least 2 weeks either side of the loan agreement dates. Upon arrival of the object(s) the University Collections Object Entry procedure will be followed to acknowledge safe receipt of the loaned material. Unless agreed otherwise with the lender, condition checking and installation of the object(s) will be carried out by a member of Collections staff from the University of Aberdeen, with any damage or issues immediately reported to the lender.

11.4 *Returning loans to lender*

University Collections will confirm the arrangements for the de-installation, including condition checking, packing and the transportation for the return of the loan with the lender. University Collections staff will notify the lender in writing once the object(s) have been collected/ sent, providing any relevant shipping/ tracking information or contact details of the art handling or courier company returning the loan. An 'Exit Receipt' form will also be sent with the object(s) to the lender for them to sign and return to University Collections, acknowledging safe receipt of the items. At the point of return, object(s) should be condition checked by the lender, and written confirmation sent to University Collections staff.

The 'Current Loans' spreadsheet will be updated with the loans progress set to 'Returned' and the date of return entered. Any final correspondence relating to the loan is then filed in the relevant loan folder both on the shared drive and in the hard copy files in the Marischal College main office.

12 DEACCESSIONING AND DISPOSAL

12.1 *Deaccessioning of items - checking documentation*

Sometimes an item(s) in the collection may be decided to be appropriate for disposal. This can be for a variety of reasons including being in poor condition/ irreparable, containing hazardous materials, lacking associated data, and/ or that they no longer fit within the University's

collecting priorities as set out in the *Collection Development Policy*. All disposals from the museum collections will be undertaken with guidance from the Museums Association's *Code of Ethics* and *Disposal Toolkit*.

If an item has been identified for potential disposal, due diligence must first be conducted by thoroughly checking any documentation sources held by University Collections in case they hold any related information about the item. These sources include object entry and acquisition records, accession registers, annual reports, loan agreements, copyright letters/ agreements, catalogue and database records, general correspondence to donors, and object history files. Discussions will also be held amongst current staff and volunteers to try and determine the origin of the item(s) in question. If evidence clearly indicates that the item belongs to University Collections, and there are no legal conditions which apply to its disposal, then proceed to 'Disposal Method and Authorisation.' If evidence indicates that the item belongs to University Collections, but there may be a specific agreement with the donor, or other possible legal or ethical constraints, further curatorial and in some cases legal advice will be sought prior to proceeding. Where subject specialist curatorial advice is required, University Collections will seek this through professional networks. If evidence suggests that the item(s) may be on loan, and the lender is contactable, then every effort will be made to contact the lender to arrange return of the item(s) in the first instance. If the lender or their estate cannot be traced, then specialist curatorial and legal advice must be sought prior to proceeding.

At this point in the procedure, both a hard copy and digital 'Disposals' folder will be created on the Museums shared drive and in the filing cabinet in the main museum office at Marischal College. The folder will be assigned a unique disposal reference number e.g., 'D2025-01'. All due diligence research, and any advice received both internally and externally regarding the ethical and legal disposal of the item(s) must be filed in both folders.

12.2 *Disposal Method and Authorisation*

Prior to seeking authorisation from the University Court for the disposal, a written report will be prepared detailing the research undertaken on the item(s), along with any expert guidance which has been sought prior to the final decision of disposal. The report should, where possible:

- Provide images of the item(s)
- Provide inventory details from CALM
- Provide an appraisal of the item's significance within the museum collections
- Provide relevant clauses from the Collections Development Policy supporting disposal
- Provide examples of duplicate items in the collection
- Provide a condition report and expert assessment for hazardous items
- Provide third-party valuations
- Provide cost estimates for conservation, maintenance, and storage, with a conservator's report if relevant

The report will then be filed in both the digital 'Disposals' folder on the Museums shared drive and in the filing cabinet in the main museum office at Marischal College.

12.3 *Authorisation by University Court*

All final decisions on disposal lie with University Court as the Governing Body and are made only after full consideration of the reasons for disposal as outlined in the written report. If University Court decides against a proposed disposal, the 'Disposals' folder for that item(s) is closed, and the disposal procedure is ended. If University Court approves the disposal, this decision is recorded in writing, usually through the Court Minutes and/or written correspondence via email or letter. The approval documentation is then filed in both the digital and hard copy 'Disposals' folder as detailed above.

12.4 *Disposal of items*

Once a decision on disposal has been made, items will initially be offered as either a gift, exchange, or sale to other suitable organisations through the appropriate professional channels (e.g., North-East Scotland Heritage Network). When contacting potential recipients, full information on the item(s) offered will be provided, including quantity of material, a brief description of the item(s), the preferred method of disposal and any related terms of the

disposal. Items will be offered for up for acquisition for a period of two months after the date of the University Court's final decision.

12.5 *Agree terms and transfer legal title*

Once a recipient of the item(s) has been identified, agreement of the terms of the transfer/ exchange or sale, as well as the transfer of legal title must be documented in writing. The agreed terms will include all arrangements for transportation of the item, including uplift/ delivery date, associated costs, conservation work, packing requirements, and insurance. The written agreement will also function as a transfer of legal title and will state that the University of Aberdeen hands over all ownership and associated rights (where applicable) to the recipient. The agreement will be signed by both parties, and the University Collections copy will be filed in the item(s) digital and hard copy 'Disposals' folders.

12.6 *Arrange for the items to be collected or delivered*

Arrangements will then be made for delivery/ collection of the item(s), along with all associated documents such as original correspondence or copies of accession registers. At the point of transporting the item(s), the *Object Exit* procedure must then be completed, and location of the item(s) updated using the *Location and movement control* procedure.

12.7 *Destruction of Items*

If offering items for exchange/ transfer/ sale has failed to attract any expressions of interest, if the item is damaged beyond repair, or if the item(s) are deemed to pose a serious health and safety risk, a decision to destroy the item(s) may be made. In cases where hazardous substances are involved (e.g., radioactive materials), help and guidance from specialist companies will be sought, and destruction will be conducted in line with the applicable legislation and regulations at the time. In the case of bulk archaeological material such as soil or environmental samples from excavation, University Collections will retain only a small representative sample, provided that the material from the site has already been fully analysed and published.

12.8 *Recording the disposal*

All information about the disposal must be recorded in the spreadsheet located in the 'Deaccessioning & Disposals' folder on the Museum shared drive. The spreadsheet records the unique disposal number e.g., D2025-01, the date, disposal method and reason, authorising staff member/s, authorisation date, any terms/ restrictions of the disposal, plus a note and the date of the University Court meeting when the disposal decision was confirmed. If the item(s) were transferred/ exchanged or sold, the recipient's name and contact details, and new object number must also be recorded, and disposal price (where applicable). Accession registers/ catalogues must then be annotated so that it is clear that the item(s) have been deaccessioned, and the digital CALM record must also be updated with the disposal information, including the unique disposal number which links it to the relevant 'Disposals' folder.

12.9 *Repatriation*

All proposals for repatriation will follow the approach specified in the Collection Development Policy. Report to University SMT and University Court will use the headings outlined in the policy, and will form the main record of the decision-making process. A transfer document will be used, modelled on the relevant clause in the Exit Receipt form, and an Disposal number allocated and recorded. Export arrangements will normally be the responsibility of the recipient, though the procedures outlined in the document may be helpful.

APPENDIX 1: HISTORY AND OVERVIEW OF THE MUSEUM COLLECTIONS AND DOCUMENTATION

The collections are the product of hundreds of years of collecting, driven by the research and teaching activities of staff and the activities of graduates and other people. Items have been acquired by the University and its constituent institutions from their foundation in 1495. A library has been in existence since the earliest days, while museums were established in the 18th century in King's College and Marischal College. The collections are of international importance and have a deep cohesion and quality stemming from the intellectual interests of staff, students and graduates, augmented by material from individuals, families, businesses and organisations associated with the University and the North-East of Scotland. The collections are managed together as 'University Collections' within the Directorate of Digital and Information Services with the aim of enhancing their care and accessibility.

The museum collections combine several former departmental collections with centrally managed art and heritage collections, now Accredited as three collections covering a wide range of Human Culture, Medicine and Health, and the Natural Sciences. The entire museum collection is Accredited and was awarded the status of a Recognised Collection of National Significance in 2007. As a large proportion of the museum collections originated as departmental collections, various processes were employed to document material entering the collections throughout the centuries. Handwritten catalogues and inventories exist from the 1810s to the early 1900s for many of the collections including Anthropology, Scientific Instruments, Natural History, Geology and Herbaria. In the early 1900s various attempts were made by university professors and a museum conservator, to catalogue the collections. A full catalogue of the anthropological collections was published in 1912, when R. W. Reid, Professor in Anatomy and Curator of the Museum compiled a complete illustrated catalogue of the objects housed in the Anatomy Museum at Marischal College. The catalogue split the collections into regions of the continent using a letter of the alphabet, followed by a sequential numbering system, for example 'H147', where H' denotes 'Africa - South of the Sahara'. From 1905 until the early 2000s, a series of Museum Committee Reports were produced annually, which detailed the items which were acquired for each of the museum departments, and their donors. From 1912 until the early 1980s, a 'Slip Book' catalogue system and hard-backed accession registers were employed. These built on the existing 'Reid' numbering system, allowing newly acquired material to be allocated numbers using the system as a classification scheme, e.g. 'H147+3'.

In 1979, the Curator of Marischal Museum redesigned, re-numbered and re-catalogued all museum objects and entered them into the first computerised database using Cardbox software. The data was entered manually from the original catalogues and documents and was first copied onto standardised index cards, before then being entered into the digital database. Varying numbering and cataloguing systems were also used for the Zoology, Herbarium, Geology and Medical collections, further details of which can be found in the 'List of UOA Collections Catalogues and Accession Registers' document (Appendix?). New MDA prefixes for each type of museum collection were allocated across the university, ABDUA, ABDUH, ABDUZ and ABDUG, with the last letter denoting which collection e.g. ABDUG = Geology.

In 2009, the computerised catalogue was transferred to a new digital Collections Management System, CALM (Axiell), which is still used to accession and manage the archive and museum collections today. As Axiell are due to withdraw support for CALM in 2027, a procurement project has started to identify a successor. This will also involve a revision of this procedure to ensure that it is consistent with the new application.

From 2010 onwards, paper-based entry and exit forms and a Microsoft excel worksheet was employed to document the acquisition of new objects into the museum collections. At the end of each calendar year, the forms are printed on to archival-quality paper and bound to create a hard-copy accession register. Following a review of the University's acquisition process in 2024, steps were taken to increase convergence of procedures across the archive, museum, and rare book collections. As a result, a new Acquisition Agreement was developed and implemented to ensure that the transfer of ownership and title is documented in a more formal and consistent manner.