

ExplORe: Guide to Open Access Research Publications

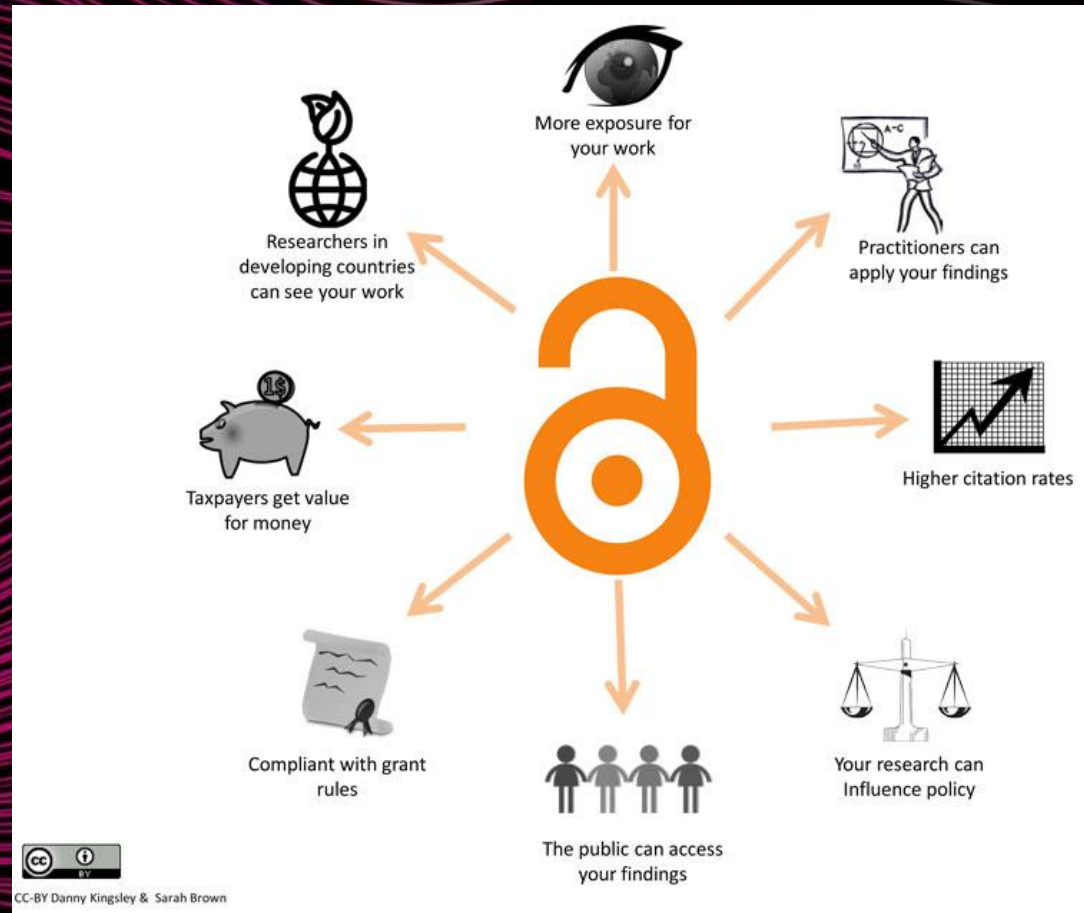
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Benefits of Open Access



Challenges

- Researcher & fellow academics carry out the work and peer-review
- Publisher formats, copy-edits, distributes
- Researcher signs over their copyright to publisher
- Library pays publisher to access final published paper
- Those without funds to pay for access can't read the published paper
- Researcher can pay to publish selected papers open access
- Article Processing Charge (APC) can be £2,000 - £10,000
- Publisher profit margins are huge to the benefit of shareholders

Time for Change

- First funder open access policies introduced around 2012
- Aim to transition to full Open Access but too slow
- In 2021 funders introduce new Open Access policies after review
- Publicly funded research should be open immediately on publication
- Research should be published under licence allowing sharing & reuse
- Institutions introduce new research publications policy
- Increase in transformative Publisher Open Access Agreements

Routes to Open Access

	Publisher Open Access (Gold or Diamond)	Repository Open Access (Green)
Process	Immediately open access on publisher website	Author deposits in institutional or subject repository
Version	Version of Record (VoR)	Usually Accepted Manuscript (AM) post peer review but before publisher formatted
Rights	Published under an open licence – usually <u>CC BY</u>	Use Rights Retention for immediate OA under an open licence Otherwise embargoes apply
Cost	Gold costs money to publish. Via an APC paid by funder or covered by institutional agreement. Free to read. Diamond is no cost to the author or the reader. Publication costs are absorbed by the publisher e.g. Uni or Society Press	No cost Accepted manuscript is free for anyone to read Published version is behind a paywall

Routes to Open Access

Two types of journal:

Subscription or hybrid

- Institutions/individuals pay to read the journal
- Author pays APC to publish selected articles open access

Fully Open Access

- All articles free to anyone to read
- Gold - Author pays APC or through agreement
- Diamond – publisher covers costs

Creative Commons Licences



Distribute, remix, adapt
Credit must be given to
the creator



Distribute, remix, adapt
Credit must be given to the
creator
Non-commercial purposes only



Copy and distribute only
No derivatives
Credit must be given to the creator

We recommend the CC BY licence and it is usually
mandated by funders!

Funder Open Access Policies



REF2021 Research Excellence Framework



Check your contract for other funders and contact openresearch@abdn.ac.uk

Funder Open Access Policies - Articles

- Applies to peer reviewed articles & conference papers with an ISSN
- Immediate open access either by:
 - Version of Record (VoR) published in a journal or on a publisher platform
 - Author's Accepted Manuscript (AM) deposited in an institutional or subject repository
- CC BY licence required for both VoR and AM - CC BY ND by exception
- Data Access Statement required explain how to access associated research materials
- Licence requirement – use RRS for hybrid journals
- Embargo: No embargo permitted

Rights Retention Statement

- "For the purpose of open access, the author has applied [a Creative Commons Attribution (CC BY) licence or other permitted licence] to any Author Accepted Manuscript version arising".

Make clear to the publisher at time of submission that funder requires AM to be made open access under an open licence

Apply to all submissions

Check open access options before submission

Research Publications Policy

- New University Research Publications Policy implemented May 2023
- Authors achieve immediate and wide dissemination without restrictions
- Retain more rights over your own work
- Retain the freedom to publish where you see fit
- Automatically comply with funder and REF open access requirements
- Opt-out available

Funder Block Grants

- Block grant available for UKRI, Wellcome, British Heart Foundation research
- Payment of an APC for articles in hybrid journals not compliant
- Limited funds
- Check availability before submission
- Horizon, NIHR etc. have other mechanisms to pay for open access e.g. in your grant or apply direct
 - See t&c's for your funder

Research Excellence Framework (REF)

- REF2021 submitted in March 2021
- Open access requirements under review
 - Follow 2021 policy until further notice
 - Open access as soon as possible
 - Deposit Accepted Manuscript within 3 months
 - Gold/Diamond OA automatically complies
 - Rights Retention for green ensures compliance

Email

paperaccepted@abdn.ac.uk

Funder Open Access Policies - Books

- Monographs, Edited Collections, Chapters
- UKRI - published from 1st of January 2024, other funders policies already in place

Key requirements

- the final version of a publication or accepted manuscript being made open access via a publisher's website/platform or a repository, within a maximum of 12 months of publication
- CC BY licence preferred, but NC and ND licences are permitted
- Funds made available for OA books
 - UKRI details tbc
 - Wellcome, Horizon etc. check policies

Open Access Agreements

- Publish open access at no cost to you or at a discount via open access agreements
- Traditionally the University subscribes to a journal to read
- OA in hybrid journals – pay an APC to make selected articles OA – double dipping
- APCs are high £2,000 - £10,000
- Agreements mean institution pays (reduced) read fee and a publish fee
- Eventually journal publishing model moves from subscription to fully open access
- No subscription and individual APCs no longer required
- Increases the amount of open access articles
- Reduces admin
- Sometimes known as transitional agreements or read and publish

Open Access Publisher Agreements

Cambridge University Press hybrid and fully OA journals. Choose CC BY licence.

Company of Biologists OA in 3 hybrid journals.

Elsevier – Hybrid journals only. CC BY licence as default.

Oxford University Press hybrid and fully OA journals. Choose CC BY licence.

Microbiology Society hybrid and fully OA journals. Choose CC BY licence.

PLOS Unlimited publishing - 6 titles. CC BY licence default.

Royal Society hybrid and fully OA journals. Choose CC BY licence.

Sage – Hybrid journals only. Choose CC BY licence.

Springer Compact Agreement – Hybrid only. CC BY licence as default.

Taylor & Francis - Hybrid journals only. Choose CC BY licence.

Wiley includes hybrid and fully OA journals. Choose CC BY licence.

Other Agreements on our [webpages...](#)

How the Agreements Work in Practice

- Publisher identifies you as an eligible responsible corresponding author by your affiliation
- Use your UoA email address
- You will be offered open access under the agreement
- Choose the CC BY Creative Commons licence
- Email openresearch@abdn.ac.uk if you have any questions
- Some issues for researchers who have left uni by time of publication – contact us for advice

What to consider when choosing a journal

- First check if there is a suitable title covered by the publisher agreements
- If not – go green!
- Consider funder requirements/REF
- Use the Sherpa Services (Romeo, Juliet and FACT) to check journal against funder/REF OA requirements
- **Think. Check. Submit.** Helps you choose a trusted journal for your publication
- Use the publisher open access agreements
- Include Rights Retention Statement
- Include a data availability statement
- Email openresearch@abdn.ac.uk

Open Access at Aberdeen

- Pure is the University's research information system where you can record your research outputs as well as other related research activities
- AURA is the institutional repository where the full text of your research is made freely available – is sent from Pure
- Pure Research Portal – see our researchers' networks, outputs, datasets and activities in our dedicated research portal
- Staff webpages – set to display 'publications'

Adding Info to Pure

- Email paperaccepted@abdn.ac.uk when you have an article accepted
- Or if you have data to be recorded openresearch@abdn.ac.uk
- Add Activities/Awards/Prizes to your own Pure account
- New researchers import your publications
- Set up automated search for publications in online sources
- Use ORCID

ORCID

- ORCID provides a unique persistent digital identifier (ORCID iD) that you own and control, and that distinguishes you from every other researcher.
- Connect your iD with your professional information — affiliations, grants, publications, peer review, and more.
- Use your iD to share information between systems and institutions, ensure recognition for all of research contributions, save time and reduce scope for errors.
- Connect ORCID and Pure: Toolkit Video.
- The use of ORCID is becoming more popular and is expected to be made a requirement by funders and future REF exercises so is recommended that you adopt



Summary

- Make your research open access
- Publish under an open licence
- Use the Rights Retention statement
- Don't forget the data availability statement
- Use the open access agreements
- Remember to update Pure
- Ask us questions!
 - openresearch@abdn.ac.uk

Any Questions?

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