Title: Research project publication and dissemination

1. Scope

1.1 This SOP applies to the Sponsor /Co-Sponsor and all researchers involved in drafting publications and dissemination relating to Clinical Trials of Investigational Medicinal Product (CTIMP) and medical device trials sponsored and/or co-sponsored by University of Aberdeen (UoA) and/or NHS Grampian (NHSG).

1.4 This SOP may also be used by staff from other NHS areas, or organisations, with prior agreement.

2. Responsibilities

Chief Investigator (CI) Ensure study findings are published and disseminated appropriately.

An awareness of funder requirements in respect of study publications and to inform the funder of any impending publications.

Complete and submit self-certification form

All authors Declare relevant conflicts of interest as specified by funder/journal policies.

Sponsor Identify any issues from the self certification form which must be reflected in the publication and ensure CI posts clinical trial summary results in EudraCT.

3. Procedure

3.1 ▲ There is an obligation to full and open publication of research project results, regardless of findings. Research projects with null results, those which failed to recruit to target and those which were unexpectedly terminated, all need to be published. In so far as possible, CTIMPs should be reported to their planned intentions and as soon as is practicable.

3.2 ▶ The results of all CTIMPs and Medical Device trials shall be appropriately disseminated through publication in peer-reviewed scientific journals.

3.3 Many funding bodies will publish a final report upon completion of the research project. The format and deadline for these reports will differ depending on the funder. If a final report is
required the CI must ensure that they complete these reports accurately and submit within the specified time frame.

**Authorship**

3.4 There shall be a clear statement of authorship policy included in the study protocol. This shall include all individuals who have made a substantial academic contribution according to the guidance and recommendations of the International Committee of Medical Journal Editors (ICMJE) [http://www.icmje.org/](http://www.icmje.org/)

3.5 All individuals who have made a substantial contribution to the research project without fulfilling the authorship criteria should be clearly acknowledged, usually in an ‘Acknowledgements’ section, detailing their contributions.

**Acknowledgement of funders and any disclaimers**

3.6 ⚠️ The contributions of funders should be clearly acknowledged. Where a format for this acknowledgement has been specified by the funder(s) the CI must ensure this is followed. The CI must also ensure that any contractual obligations to the funder relating to publications are met including any requirements for prior notification of the publication of project outputs.

3.7 It is often necessary to include an appropriate disclaimer (e.g., funder’s disclaimer) when reporting research findings or opinions.

**Acknowledgement of regulatory bodies and Sponsor**

3.8 ⚠️ Authors must include details of the Sponsor, any ethics committee and other approvals (e.g., MHRA) within the manuscript. Where applicable all study reference numbers (e.g., REC, MHRA, etc) shall be stated in the publication.

**Acknowledgement of Trial Steering Committee and Data Monitoring Committee as relevant**

3.9 ⚠️ To maintain their strict independence, independent members of the Trial Steering Committee (TSC) and independent Data Monitoring Committee (DMC) members should not gain any academic credit by being a co-author on study publications.

3.10 The role of individual members of the TSC and DMC should be gratefully acknowledged unless they request otherwise.

**Reporting standards**

3.11 Authors are encouraged to use the relevant reporting guidelines for the research project provided by the EQUATOR (Enhancing the Quality and Transparency of health Research) Network. [www.equator-network.org](http://www.equator-network.org) These reporting guidelines will ensure that all relevant information is reported in the publication.

3.12 ⚠️ The CI must ensure that any key issues raised by the Sponsor are adequately addressed within the publication.

**Submission of publication**

3.13 ⚠️ The CI or delegate (e.g., lead author) must complete a publication self-certification form (TMP-QA-33) in relation to the publication and submit it to the Research Governance team via researchgovernance@abdn.ac.uk at least 3 weeks prior to the intended submission date.

3.14 The Sponsor shall review the publication self-certification form to determine whether they:

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**Key to symbols**

- ⚠️ Important point to note
- ⚠️ Warning
• Approve submission of the publication.
• Require a full Sponsor review of the draft publication to approve submission of the publication or request changes and further review.

Please refer to Appendix 1 for the flow-chart detailing the publication self-certification process.

3.15 Following Sponsor approval the CI or delegate shall submit the publication. If the journal requests that substantial changes must be made to the manuscript in response to the journals’ reviewers’ comments, the CI shall notify the Sponsor.

**Dissemination**

3.16  
There shall be a commitment to disseminate outputs from the research project to study participants, the general public, internally within the UoA and/or NHSG, and to professional and lay publications when appropriate.

**Dissemination to participants**

3.17 The process for disseminating results to participants shall have been addressed at the time of ethical approval and detailed in the protocol. Any deviations from this should be discussed and agreed with Sponsor.

**Dissemination to the public**

3.18 Consideration shall be given to issuing a press release. It is advisable that research personnel contact the appropriate communication/press office teams in the UoA and/or NHSG. If the press release contains research project results the press release should be embargoed until the day of publication. The CI must also ensure that any contractual obligations to the funder are met including any requirements for prior approval of press releases or other media material. All relevant parties including the funder and Sponsor shall be informed in advance of any press release.

3.19 It is mandatory for the Clinical Trial summary results to be posted in EudraCT within a specific period following the end of the trial (six months for paediatric and twelve months for other trials).

4. **Abbreviations and definitions**

<table>
<thead>
<tr>
<th>Abbreviation</th>
<th>Definition</th>
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<tbody>
<tr>
<td>CTIMP</td>
<td>Clinical Trial of Investigational Medicinal Product</td>
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<tr>
<td>MHRA</td>
<td>Medicines and Healthcare products Regulatory Agency</td>
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<tr>
<td>REC</td>
<td>Research Ethics Committee</td>
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5. **Related documentation and references**

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<td>Protocol guidance for high risk trials and CTIMPs</td>
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<tr>
<td>TMP-QA-33</td>
<td>Self certification form</td>
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</table>
Complete publication self-certification form and email to researchgovernance@abdn.ac.uk at least 3 weeks before intended journal submission date

Sponsor review of publication self-certification form

Sponsor approves submission to journal

Submission to journal

Accepted by journal

Reviewers’ comments from journal

Address comments and resubmit; no requirement to update publication self-certification form

For papers to be resubmitted to new journal: Update publication self-certification form and email to researchgovernance@abdn.ac.uk at least 5 weeks before intended journal submission date

Rejected by journal

For papers to be resubmitted elsewhere: send copy of revised paper to researchgovernance@abdn.ac.uk or at least 5 weeks before intended journal submission date

Sponsor requests copy of publication for full review before submission

Sponsor approves submission to journal

Submission to journal

Accepted by journal

Reviewers’ comments requested by journal

Rejected by journal

Sponsor requests changes prior to submission to journal—forward revised draft to researchgovernance@abdn.ac.uk

Send copy of revised paper to researchgovernance@abdn.ac.uk within one year

Key to symbols

= Important point to note

= Warning